



FIS Risk, Fraud and Compliance Solutions

Regulatory University AML/BSA and Compliance Training

Regulatory University contains a library of 250+ courses covering safety and soundness in consumer protection laws and regulations, high and emerging risk issues, products and services, and topics of relevance to multiple lines of business.

In addition, Regulatory University offers a robust Learning Management System (LMS) with cutting-edge, risk-based reporting, tracking and analytics that allow you to deploy and manage the training enterprise-wide.

Regulatory University is powered by FIS Compliance Coach, a solution providing risk assessment software, e-learning and other tools that enable compliance with applicable laws and regulations. Thousands of financial institutions and Credit Unions throughout the U.S – plus the regulators including the FDIC, OCC, CSBS and FRB – rely on FIS Compliance Coach to manage risk more effectively and pass regulatory examinations with ease.

Best-in-Class Content Meets Risk-Based Reporting

FIS Compliance Coach strives to always be first in the nation to develop new training – sometimes months before competitors. And the solution's content is incomparably comprehensive, with over 250 compliance courses covering all risk topics.

But in addition to having the greatest variety of courses, Regulatory University offers content that is continually refreshed with new compliance information, cases and exercises. All key courses are refreshed at least annually.

Plus, your institution has the ability to customize your compliance training program by developing or editing your own content.

Regulatory University provides a state-of-the-art LMS with the industry's most advanced informational, analytical and productivity tools for managing learning enterprise-wide. The LMS' robust tracking and reporting capabilities allow you to quickly identify where and who your risk is. Risk dashboards with detailed analytics and trend analysis provide key metrics enterprise-wide, and all reports are detailed and designed to meet or exceed all regulatory requirements and expectations.

With its breadth of course content and advanced LMS, FIS Compliance Coach provides the industry's preeminent best practices-based curriculum assignment system so that an optimal compliance training plan can be devised and deployed throughout your enterprise.





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Highly Effective e-Learning

Regulatory University offers courses developed regularly on emerging risk issues that contain an optimal balance between substance and form. These teach your employees not just the “what?”, but also the “why?” and “how?” to ensure compliance.

Courses incorporate:

- Real-world scenarios based on actual cases or enforcement actions to maximize learning
- Frequent pop quizzes with immediate feedback
- Pop-quizzes that are tracked, and feedback that is provided to the student prior to taking the test

A Differentiated Training Solution

In addition to a number of key features and benefits, Regulatory University offers:

Enhanced Curriculum Management: Assign courses by regions, branches, groups and job function. Rely on our one-of-a-kind Curriculum Assignment Best Practices Guide to efficiently and effectively meet regulator expectations. Facilitate a blended training approach using our patent-pending Easel for instructor-led sessions and our Classroom Manager to track attendance at live seminars.

Customizable Wizard: Our easy-to-use Wizard allows you to customize existing courses by incorporating your policies and procedures or create a new course from scratch.

Ask an Expert: Stumped? Our in-house team of experts, including CRCMs and former regulators, are ready to provide you with a timely response to your pressing compliance questions.*

Survey Wizard: Save time and money by easily building and distributing custom surveys on any topic you choose, including items not related to compliance. Results are automatically tabulated using our robust reporting system.

AICC- and SCORM-Compliant: If you have your own LMS, don't worry – our courses can be deployed via AICC or SCORM from your LMS.

Contact Us

For more information about FIS Compliance Coach, call 800.822.6758 or visit www.fisglobal.com

* Regulatory University experts do not provide legal advice or opinions, but only general guidance, and should not take the place of legal counsel. Consult your own legal counsel for any legal advice or opinions regarding your own compliance or fact situation.

Go to www.fisglobal.com